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January 11, 2001

Attorney Docket No.: 09857-054001

Box Patent Application
Commissioner for Patents
Washington, DC 20231

Presented for filing is a new original patent application of:

Applicant: Steven M. Bloom, Michael Spector and John Jacobson

Title: ARBITRAGE OF TRACKING SECURITIES

Enclosed are the following papers, including those required to receive a filing date under 37 CFR §1.53(b):

	<u>Pages</u>
Specification	13
Claims	5
Abstract	1
Declaration	[To be Filed at a Later Date]
Drawing(s)	3

Enclosures:

- Postcard
- Information Disclosure Statement, PTO 1449 Form, 1 page, 3 refs.

Basic filing fee	\$710
Total claims in excess of 20 times \$18	\$54
Independent claims in excess of 3 times \$80	\$160
Fee for multiple dependent claims	\$0
Total filing fee:	\$924

CERTIFICATE OF MAILING BY EXPRESS MAIL

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Under 37 CFR §1.53(f), no filing fee is being paid at this time.

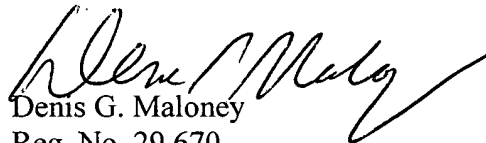
If this application is found to be incomplete, or if a telephone conference would otherwise be helpful, please call the undersigned at (617) 542-5070.

Kindly acknowledge receipt of this application by returning the enclosed postcard.

Please send all correspondence to:

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Respectfully submitted,


Denis G. Maloney

Reg. No. 29,670

Enclosures

DGM/mgc

20193204.doc

APPLICATION
FOR
UNITED STATES LETTERS PATENT

TITLE: ARBITRAGE OF TRACKING SECURITIES

APPLICANT: STEVEN M. BLOOM, MICHAEL SPECTOR AND JOHN JACOBSON

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